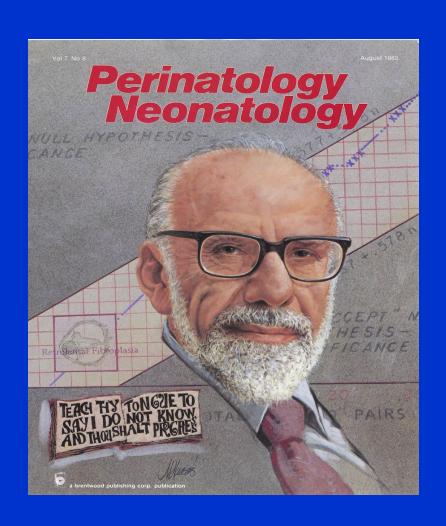
TRIUMPHS AND TRAGEDIES IN NEONATOLOGY: LESSONS LEARNED?



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Bill Silverman



"We cannot always make our patients better but we can always make them worse!"

Milestones in Neonatology

1890-1920 Development of incubator

1920-1940 Era of nutrition

1940-1950 Era of

antibiotics

1950-1960 O₂ therapy



MARTIN COONEY'S PREMATURE BABY EXHIBIT





TRIUMPHS

- PREVENTION
- INTERVENTION
- INVENTION TECHNOLOGY
- CONVENTIONS GUIDELINES;
 CARE PATHWAYS; QUALITY
 IMPROVEMENT
- RETENTION BENCH MARKING BEST PEOPLE AND PRACTICES



TRIUMPHS- PREVENTION

- Blindness Ophthalmia neonatorum- antibiotic eye ointment
- Hemorrhagic disease Vitamin K
- Retardation neonatal screening
- Rh disease Rhogam
- Liver cancer Hepatitis B Immunization
- Infections-Intrapartum antibiotics/cord care
- Birth defects folic acid and periconceptual glucose control in diabetics
- Deafness hearing screening

MAJOR THERAPEUTIC TRIUMPHS

- Total parenteral nutrition
- Antenatal corticosteroids
- CPAP/ mechanical ventilation
- Surfactant
- Prostaglandins
- Nitric oxide
- Cryotherapy/Laser therapy for ROP
- ECMO
- HYPOTHERMIA
- Pulse oximeter screening for CCCHD

Exchange Transfusions

1946 Wallerstein

1947 Diamond et al



Sagittal sinus - out;
Superficial vein - in

First to introduce umbilical vein catheterization

Alternate removal and transfusion.

HERALDS MODERN ERA OF NEONATOLOGY

Erythroblastosis Fetalis

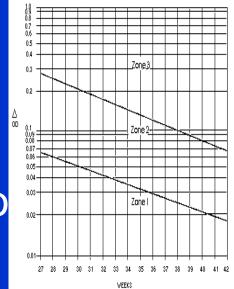
1952 Bevis Amniotic fluid analysis fo severity of EBF

1963 Liley Intrauterine transfusion

1964 Fried Anti Rh gamma globulin for prevention of Rh disease

1965 Woodrow et al

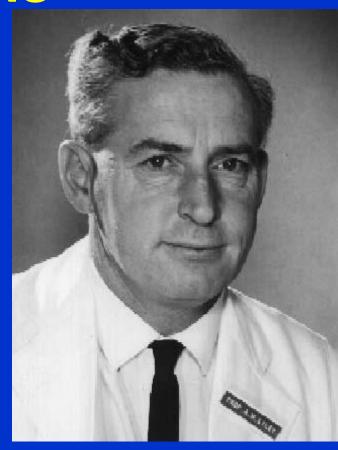
Today -Rh sensitization is almost totally eradicated in developed countries



RATIONALE FOR INTRAUTERINE TRANSFUSIONS

- "a young English lady, a geneticist, who had been working in Nigeria on sickle cell disease, visited Auckland......with her she had some beautiful blood slides from neonates and infants homozygous HbS, who had been given normal cells intraperitoneally.
- There were floods of normal cells in their peripheral blood, and this was good enough evidence for us that cells could be taken up from the peritoneum in massive quantity and at a relatively rapid rate".





Sir William Liley 1929-1983

KCMG, DSc Vict PhD ANU MB ChB B Med Sc Dip Obs FRSNZ FACOG FRCOG

INTRAUTERINE TRANSFUSIONS

- As in many areas of science, major advance results from what is loosely termed serendipity.
- However, it is not luck which determines these major advances, but rather the presence of a disciplined prepared mind which can capitalize upon an observation, the significance of which would be ignored by others.
- (Liley, A,, *Pediatrics*, 1965; 35: 836-846)

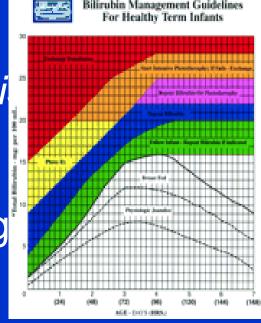
Impact of Exchange Transfusions on Erythroblastosis Fetalis [USA]

4 million births/year

Incidence of erythroblastosis fetali

1:2000; 20,000 infants/year

Mortality: 50% before exchang10% after exchange



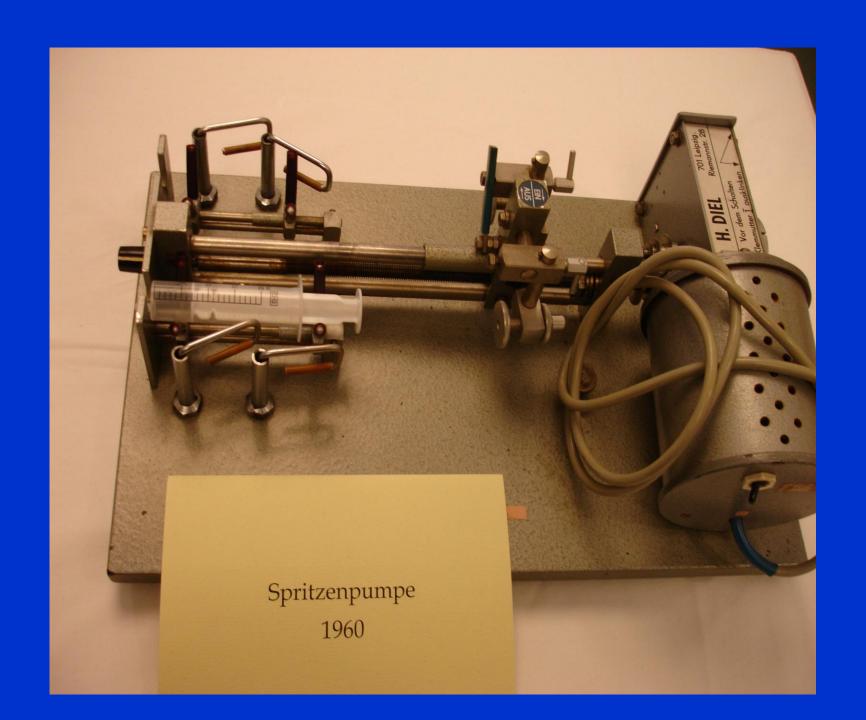
Lives of 8-9000 infants/year saved in USA alone

WORLD WIDE IMPACT OF FAILURE TO ESTABLISH Rh immunization with RHOGAM

"Currently the missed opportunity for the preventative provision of an effective Rh globulin is the direct cause of 41,000 stillbirths, 90,000 neonatal deaths and 41,000 children with severe and permanent brain damage (kernicterus) each year.







PREMATURE CARE IN THE 1950's- An interview with Dr. William Silverman, father of neonatal intensive care - Helen Harrison

"I was disturbed by how long it took to recognize the harmful effects of specific treatments. I began to advocate increased use of the randomized controlled trial to find out if treatments were beneficial and discover any unexpected risks

www.prematurity.org/research/helen-silverman.html

PREMATURE CARE IN THE 1950's- An interview with Dr. William Silverman, father of neonatal intensive care by Helen Harrison

"I began to witness a series of disasters accompanying this rapid introduction of new drugs and procedures.

The liberal use of oxygen was associated with blinding of infants.

Some of the drugs that appeared so promising proved to be lethal, some led to brain damage or other handicaps."

www.prematurity.org/research/helen-silverman.html

1950'S ERA OF BENIGN NEGLECT AND DISASTROUS INTERVENTIONS

- ☐ High mortality and morbidity
- □Retinopathy/Oxygen/ACTH
- □Restricted use of oxygen
- □Efforts to prevent infection Kernicterus and Gray baby syndrome

1950'S ERA OF BENIGN NEGLECT AND DISASTROUS INTERVENTIONS

- □ Prolonged starvation of immature infants- the lighter the infant the longer they were NPO
- □ Failure to promote breast feeding for preterm infants

1950'S ERA OF BENIGN NEGLECT AND DISASTROUS INTERVENTIONS

- □No intensive care/ No parents in nurseries- resulted in abuse and abandonment
- □ Apgar scoring system introduced
- ☐ Thermal regulation (NTE) reduced mortality

1940's-1950's: Recognition of Oxygen Toxicity

- •Terry reported retinal detachment that appeared to occur frequently among premature infants who received 100% oxygen over prolonged periods of time

 STEVIE WONDER
- •The pathological findings of oxygen toxicity were termed retrolental fibroplasia (RLF),

now known as retinopathy of prematurity (ROP)

OXYGEN- POTENTIALLY HARMFUL?

Clinical trial

- babies < 3.5 lb were assigned alternately to treatment (conservative O₂) or control (high O₂)
- trial difficult to conduct since some doctors/nurses questioned the wisdom of curtailing the O₂ for premature babies
- 6 of 37 (16%) of conservative O₂ group developed RLF
- 17 of 28 (61%) of high O₂ group developed RLF
- Patz A, Hoeck.L De La Cruz E Studies on the effect of high oxygen administration in retrolental fibroplasia. American Journal of Ophthalmology 1952; 35, 1248-53

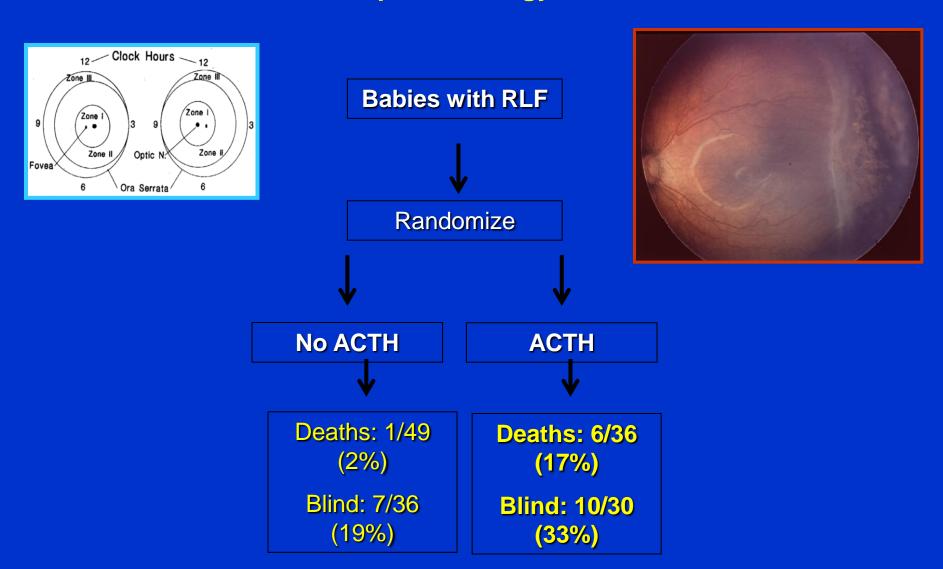
ACTH FOR ROP-HELP VS HARM?

Early treatments

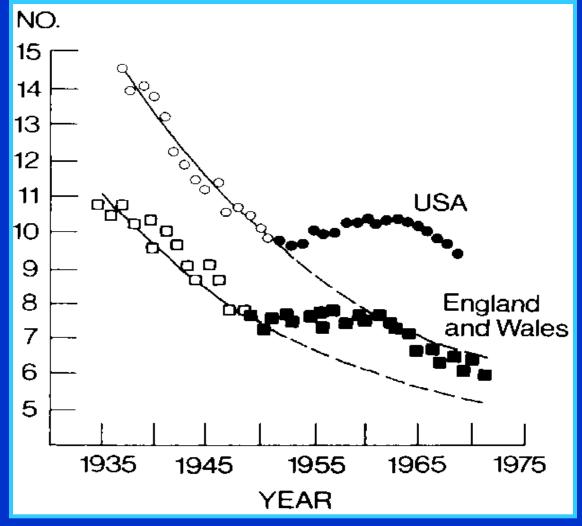
- increase in vascularisation might be a response to hypoxia –
 liberal use of O₂ in premature infants might then be justified
- premature infants had low adrenal corticosteroid levels and the pathology of RLF was reminiscent of connective-tissue disease in adults – use of adrenocorticotropic hormone (ACTH) might be useful
 - Blodi et al. (1951): of 25 out of 31 babies receiving ACTH appeared to respond with reversal of changes and preservation of eyesight
 - Laupus (1951), Pratt (1951) reported treatment failures

Blodi, F., Silverman, W., Day, R., Reese, A., 1951, Experiences with corticotrophin (ACTH) in the acute stage of retrolental fibroplasia. American Journal of Diseases of the Child 1951, 242 - 243.

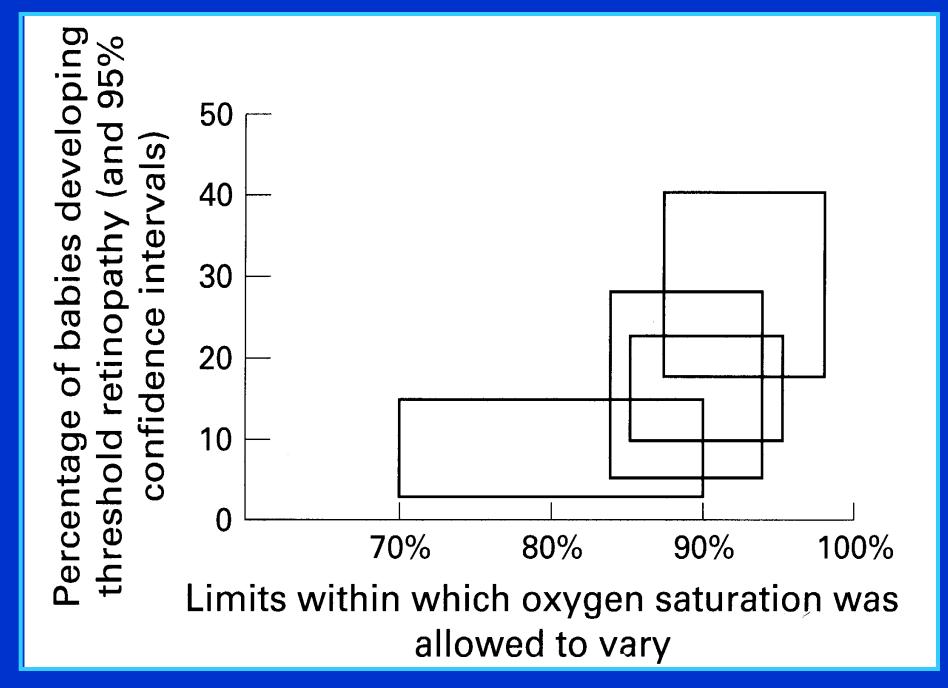
Reese, A., Blodi, F., Locke, J., Silverman, W., Day, R., 1952, Results of use of corticotrophin (ACTH) in treatment of retinal fibroplasia. Archives of Ophthalmology 47, 551 - 555.



Deaths on day of birth /1000 live births



Mortality on the first day of life in the United States and in England and Wales before (open circles and squares) and after (closed circles and squares) oxygen restriction. (Redrawn from Bolton and Cross, 1974).



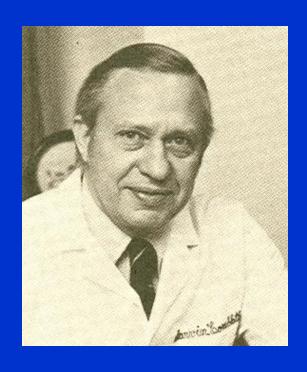
MORTALITY - SATURATIONS 85-89% VERSUS 91-95%

Lower	Higher
Saturation	Saturation
Group	Group
85-89%	91-95%

SUPPORT	19.9%	16.2%	1.27 * (1.01, 1.60)
BOOST	23.1%	15.9%	1.45 *(1.15- 1.84)
СОТ	15.3%	16.6%	1.11 (0.80- 1.54,)

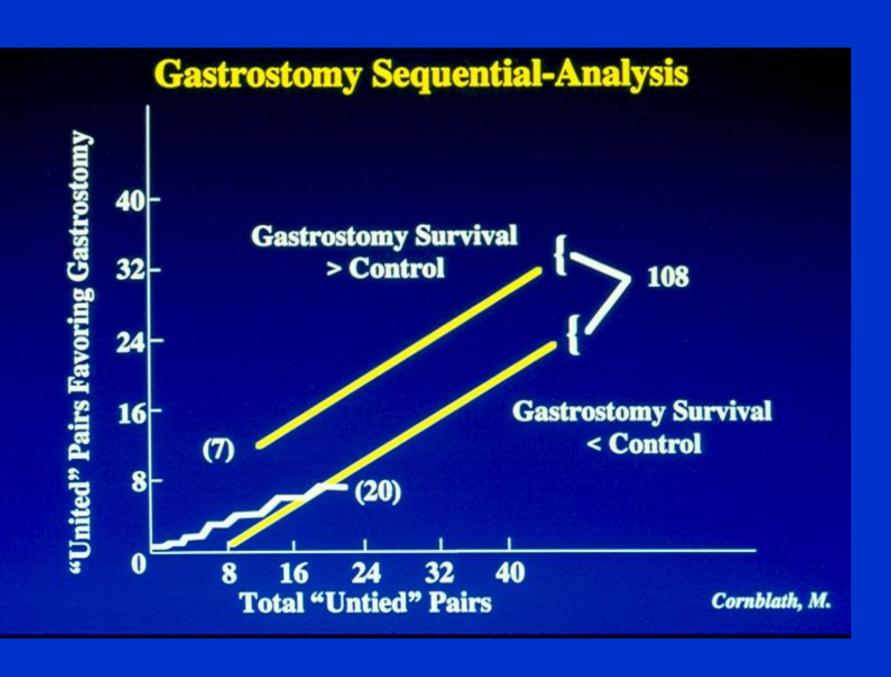
A TRAGEDY AVERTED

FEEDING GASTROSTOMY FOR LOW BIRTH WEIGHT INFANTS



CONTROLLED STUDY OF FEEDING GASTROSTOMY IN LBW INFANTS - OUTCOMES

	Matched Pairs 54				
	Tied	Tied (34)		d (20)	
	Lived	Died	Lived	Died	
Gastrostomy	31	3	7	13	
Controls	31	3	13	7	



Controlled Study of Feeding Gastrostomy In LBW Infants

"During the 14-month period of this study, the over-all mortality among infants with birth weights between 750 and 1250 grams in the premature nursery of the Cook County Hospital dropped by 13 percent from that of the previous two years. Had this study lacked concurrent controls, the improvement in survival would have been ascribed to the feeding gastrostomy."

Cornblath, M.



USHER REGIMEN

- Supplemental Oxygen > 40% if cyanosed
- Stimulate if apneic
- Glucose and sodium bicarbonate infusion according to pH –
 - 5meq/100 ml if arterial pH >7.3;
 - 10 meq/100ml if pH 7.2-7.3;
 - 15 meq/100ml if pH 7.1-7.2
 - 25 meq/100 ml if pH<7.1
- Feed enterally when spontaneous activity

USHER REGIMEN

Editors Note: This interesting and informative paper should be read with care not only because of the new information presented, but also because it contains many unsupported or categorical statements with which a number of workers in the field of the newborn will take issue"

Unproven Therapies DES & Pregnancy Outcome

	Odds Ratio	95% CI
Miscarriage	1.2	0.89-1.62
Stillbirth	0.95	0.50-1.83
Neonatal Death	1.31	0.74-2.34
All Three	1.38	0.99-1.92
Prematurity	1.41	1.08-2.00

Goldstein

NEONATAL TRAGEDIES

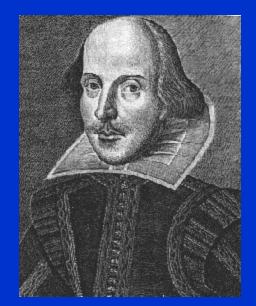
IATROGENESIS ASSOCIATED WITH PREVENTION OF

INFECTION

- Sulfisoxazole
- Chloramphenicol
- Novobiocin
- Hexachlorophene
- Kanamycin
- Diaper laundering, and
- Equipment cleaning.



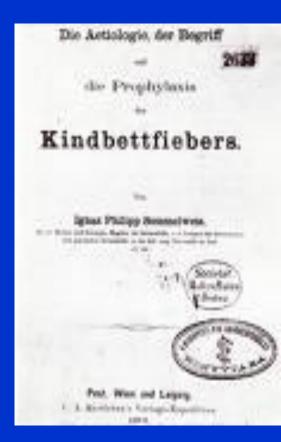
HAMLET



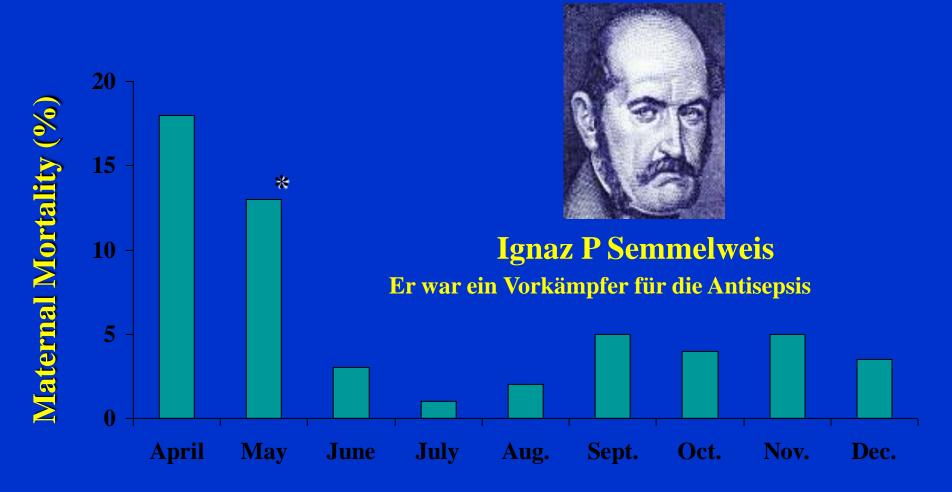


IGNAZ SEMMELWEIS

Semmelweis realized that the number of cases of puerperal fever was much larger at one of his wards than at the other. After testing a few hypotheses, he found that the number of cases was drastically reduced if the doctors washed their hands carefully before dealing with a pregnant woman. Risk was especially high if they had been in contact with corpses before they treated the women. The germ theory of disease had not yet been developed at the time. Thus, Semmelweis concluded that some unknown "cadaveric material" caused childbed fever.



Vienna Lying-in, 1847



* = Introduction of chlorine hand washes



SEMMELWEIS



- Semmelweis spent 14 years
 promoting his ideas and wrote a book in 1861
- The book received terrible reviews
- He had a nervous breakdown and was committed to a mental institution where he developed "blood poisoning" and died
- Only after his death was the germ theory recognized and he is recognized as a pioneer of antiseptic policy and prevention of nosocomial sepsis.

HEXACHLOROPHENE



Prevention of Neonatal Sepsis 4 Groups: 2 Antibiotics + 2 Humidities

- "Routine administration of antibiotics reduces neonatal mortality for premature newborns"
- Difficulty in recognizing neonatal sepsis justifies prophylactic treatment with antibiotics
- Ideal combination of antibiotics uncertain

Groups 1, 2: Penicillin/sulfisoxazole + Humidity high or Humidity moderate

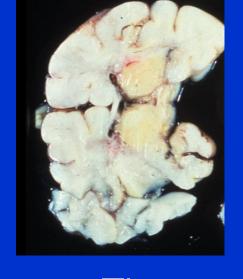
Groups 3, 4: Oxytetracycline +
Humidity high or Humidity moderate

Silverman et al: Pediatrics 1956;18:614

Prevention of Neonatal Sepsis Results

	Pen/Sulfa	Oxytetracycline
Mortality	72%	80%
Sterile at Autopsy	96%	69%
Kernicterus –		
died during study	36%	6%
Kernicterus –		
died after study	64%	0%

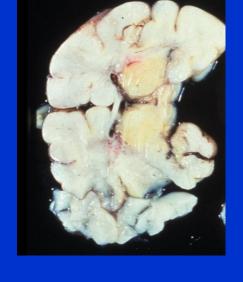
Pediatrics 1956;18:614



RESULTS



- The results of the study turned out to be deeply disturbing (Silverman et al. 1956).
- First-5-day mortality was strikingly higher among infants allotted to the arm of the trial treated with the widely accepted agents for prophylaxis (penicillin/sulfisoxazole) compared to the group receiving the proposed replacement (tetracycline).



RESULTS



A previously unknown and subsequently demonstrated effect of sulfisoxazole was responsible for the startling and completely unexpected result: the drug displaced albumin-bound bilirubin in the serum of jaundiced neonates with the result that they sustained fatal brain damage from a condition known as kernicterus.

LESSONS LEARNED

- "We realized immediately that the trial would have been stopped sooner if we had 'peeked' at the results during the course of what we regarded as a very mundane exercise, instead of waiting until all infants had been enrolled.
- This horrific experience convinced us of the need to find a method of 'controlled peeking' at the accumulating results in randomized trials that were still recruiting."

Silverman

Mortality Increased with Chloramphenicol

	Mortality
No antibiotics (n=32)	19%
Chloramphenicol (n=30)	60%
Penicillin + Streptomycin (n-33)	18%
Penicillin + Streptomycin +	
Chloramphenicol (n-31)	68%

Burns et al: New Engl J Med

1959;261:1318

CURRENT GOALS

- ✓ Practice evidence based medicine
- ✓ Apply best practices and reduce center variability
- ✓ Avoid medical errors
- ✓ Prevent prematurity
- ✓ Prevent birth defects

CURRENT GOALS

- ✓ Prevent infection and major morbidities
- ✓ Optimize nutritional support enhance and promote use of human milk
- ✓ Minimize invasive procedures and provide humane pain relief

REPORT CARD NEONATAL PERINATAL MEDICINE

- Prevent prematurity
- Reduce asphyxia
- Eliminate GBS & nosocomial infections
- Reduce IVH
- Prevent BPD
- Avoid iatrogenesis
- Avoid medical errors

- MINIMAL progress but enhanced survival

- Accomplished
- Some progress
- High priority
- High priority

CURRENT GOALS

- ✓ Provide stimulating and nurturing environment for patients and staff
- ✓ Support and educate nursing and ancillary staff
- ✓ Communicate with, inform, educate and support the parents/family and encourage them to visit and participate in care. Be truthful, attempt to be optimistic but always realistic.
- ✓ ATTEMPT cost-effective care

Problems to Solve

- > Prevention of major morbidities
 - ➤ Neuro-developmental impairment
 - >chronic lung disease
 - >NEC
 - growth failure
 - >nosocomial sepsis
- Preservation of brain function including vision and hearing and monitor neuro-development





Cortical folding 25 week 30 week 33 week Term equivalent (37 weeks) **Adult Term control**



Courtesy of Linda deVries